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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under

Attorney Docket No.	39356/46546
First Inventor	Neu, Richard W.
Title	Double-Hull Ore Carrying Vessel Conversion From Single-Hull Oil Tanker and Method of Performing the Same
Express Mail No.	EV 388209430 US

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Applicant claims small entity status. See 37 CFR 1.27.
2. ☒ Specification [Total Pages 26]  
(preferred arrangement set forth below)
  - ☒ Descriptive title of the invention
  - ☐ Cross Reference to Related Applications
  - ☐ Statement Regarding Fed sponsored R & D
  - ☐ Reference to sequence listing, a table, or a computer program listing appendix
  - ☒ Background of the Invention
  - ☒ Brief Summary of the Invention
  - ☒ Brief Description of the Drawings (if filed)
  - ☒ Detailed Description
  - ☒ Claim(s)
  - ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
4. Oath or Declaration [Total Pages 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Unexecuted
  - c. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

**ADDRESS TO:** Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
P.O. Box 1450, Alexandria, VA 22313-1450

5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
15. ☐ Certificate of Express Mail
16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**


<input type="checkbox"/>	Customer Number or Bar Code Label	<b>021888</b> (Insert Customer No. or Attach bar code label here)	or	<input checked="" type="checkbox"/>	Correspondence address below
Name	Matthew J. Himich		Registration No. (Attorney/Agent)		#47,650
Address	Thompson Coburn LLP One US Bank Plaza, Suite 3500				
City	St. Louis	State	MO	Zip Code	63101-9928
Country	U.S.A.	Telephone	314-552-6299	Fax	314-552-7299

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: ASSISTANT COMMISSIONER for Patents, Box Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450

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PATENT APPLICATION FEE DETERMINATION RECORD				Application or Docket Number			
CLAIMS AS FILED – PART I				SMALL ENTITY		OR	OTHER THAN SMALL ENTITY
	(Column 1)	(Column 2)		RATE	FEE		RATE
FOR	NUMBER FILED	NUMBER EXTRA					
BASIC FEE (37 CFR 1.16(a))					\$385.00		
TOTAL CLAIMS (37 CFR 1.16(c))	27 minus 20 =	7		x \$9.00 =	63.00	OR	x \$18.00 =
INDEPENDENT CLAIMS (37 CFR 1.16(b))	4 minus 3 =	1		x \$43.00 =	43.00	OR	x \$86.00 =
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))				+ \$ =		OR	+ \$ =
* If the difference in column 1 is less than zero, enter "0" in column 2				TOTAL	\$491.00	OR	TOTAL
							.00

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 20-0823 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$491.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.



Signature

Dated: March 18, 2004

Matthew J. Himich, Reg. No. 47,650  
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One US Bank Plaza, Suite 3500  
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I certify that the document and fee is being deposited on March 18, 2004 with the U.S. Postal Service as Express Mail under 37 C.F.R. 1.10 and is addressed to the BOX PATENT APPLICATION, Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail No. EV 388209430 US



Signature of Person Mailing Correspondence

Matthew J. Himich

Typed or Printed Name of Person Mailing Correspondence

Customer No. 021888

P16B/REV01

**EXPRESS MAIL CERTIFICATE OF MAILING (37 CFR 1.10)****Express Mail No. EV 388209430 US**

Docket No.

39356/46546

In Re Application Of:

Neu, Richard W.

Serial No.  
to be assignedFiling Date  
herewithExaminer  
unknownGroup Art Unit  
unknown

Title:

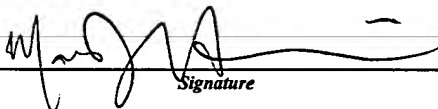
Double-Hull Ore Carrying Vessel Conversion From Single-Hull Oil Tanker and Method of Performing the Same

**TO THE COMMISSIONER OF PATENTS AND TRADEMARKS**

Transmitted herewith is:

## Utility Patent Application Transmittal:

- ☒ Patent Application  
☒ Drawings: 3 Sheets ☐ Formal ☒ Informal;  
☐ Power of Attorney: ☐ Executed ☐ Not executed  
☒ Declaration: ☐ Executed ☒ Not executed  
☐ Assignment with Recordation Form  
☐ 37 CFR 3.73(b) Statement  
☐ Information Disclosure Statement with References (☐)  
☐ NonPublication Request under 35 U.S.C. 122(b)(2)(B)(i)  
☒ Postcard  
☐ A check in the amount of \$        is attached

  
Signature


Dated: March 18, 2004

Matthew J. Himich, Reg. No. 47,650  
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